



## County of San Mateo Office of Sustainability: 4Rs Grants Program

### **Mega Grant Application Guideline (up to \$25,000)**

**Application Deadline: Monday, March 8, 2021 at 6:00 pm**

#### **1. Background**

The mission of the County of San Mateo Office of Sustainability's (OOS) Waste Reduction Workgroup is to develop, implement, and promote programs that reduce waste and conserve natural resources throughout San Mateo County. This includes collaboration with other public agencies, nonprofits, schools, and private entities, as well as outreach to all residents and businesses in the county. The Waste Reduction Workgroup also oversees waste, recycling, and composting services for County-owned facilities and Unincorporated San Mateo County areas. The OOS is not affiliated with any of the private waste haulers in the county, but does help to promote their waste reduction services.

The 4Rs (Reduce, Reuse, Recycle, and Rot) Grants Program was developed to help eligible organizations create and implement different programs to help divert waste from being sent to the landfill. The program's primary focus is to fund reuse, waste reduction, recycling, and composting programs throughout San Mateo County.

#### **2. Eligibility Requirements and Project Criteria**

##### Who Can Apply

An application may be submitted by a government agency, a public or non-profit educational institution (e.g., school, school/college district, state university system, etc.), or a non-profit organization that is located in San Mateo County and/or that serves communities in San Mateo County.

##### Mega Grant Objectives and Requirements

To be considered for the Mega Grant, the project must meet the below Mega Grant objectives and requirements:

- 1) The Mega Grant project will focus specifically on the “4Rs” of the solid waste sector – reduce, reuse, recycle and rot (composting). **The project must address and provide solutions for diverting waste from being sent to the landfill.**
- 2) The Mega Grant project will initiate new or expand existing programs that will serve and benefit communities county-wide throughout San Mateo County (county-wide focus).

**Special note to public school districts:**

- a. Although individual K-12 public school districts’ impacts are not countywide in reach, due to the fact that they can have an impact on a significant part of the county, K-12 public school districts in San Mateo County have the option of applying for a Mega Grant if the school district meets both the criteria below:

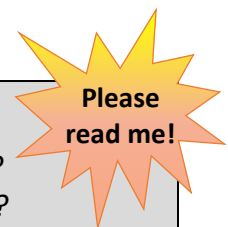


- (1) There are five (5) or more schools in its district **OR** at least 50% of its schools are Title 1 schools; **AND**
- (2) **All** of the district’s schools will need to participate in the proposed Mega Grant project.

- b. San Mateo County Community College District will be able to apply for a Mega Grant if all of its schools participate in the proposed Mega Grant Project.
- 3) The Mega Grant project will increase awareness about the 4Rs and provide opportunities for our San Mateo County community members, especially our children/youth and the underserved communities, to become active stewards of the diverse natural environment in San Mateo County.
- 4) The Mega Grant project will provide an innovative service to fill a need within the solid waste sector in the county.
- 5) The Mega Grant will fund impactful, long-term, sustainable projects within communities throughout San Mateo County.

**Mega and Mini Grants Applications Submission Restrictions**

*Are you thinking about applying for both the Mega and Mini Grants?  
Are you thinking about submitting multiple Mega Grant applications?*



There are specific requirements for submitting applications for both grant categories. Please carefully review the 4Rs Grants Program Q&A (<https://www.smcsustainability.org/wp-content/uploads/OOS-4Rs-Grants-Program-QA-2.pdf>) for additional information.

### Examples of Eligible Projects

- Countywide recycling and composting education programs
- Fix-it Clinics, Repair Cafes, or other reuse/repair projects
- Creative reuse programs
- Please check past years' lists of grant recipients for additional information on examples of eligible projects (<https://www.smcsustainability.org/waste-reduction/4rs-grants-program/>).

### Examples of Ineligible Projects

- Projects that do not have at least one of the 4Rs as the **central** focus. Projects that touch upon other sustainability areas (e.g., energy and water conservation, climate change, green transportation, etc.), but do not have at least one of the 4Rs as a central focus will be disqualified.

### Examples of Eligible Expenses

- *Labor cost*: Grant funds can be used for in-house staff labor and/or contracted service labor (includes subcontracting) costs. The hourly rate (\$/hour) and total estimated hours must be included in the budget section of the grant application for all applicable grant project staff, including in-house and contracted service (including subcontracts) staff.

**Important Note:** The OOS is committed to promoting diversity, equity, and inclusion throughout San Mateo County. As such, if requesting grant funding for staff labor, applicable applicants **must** comply with the County's Living Wage Ordinance (LWO) to promote the creation of a livable wage for associated labor staff costs. The LWO requirement for the upcoming 4Rs Grant Program cycle will be \$18/hour. For additional information on the County's LWO, including who must comply, please visit:

(1) <https://hr.smcgov.org/san-mateo-county%E2%80%99s-living-wage-ordinance-lwo> and

(2) [https://hr.smcgov.org/sites/hr.smcgov.org/files/LWO%20FAQ\\_Final\\_03142017.pdf](https://hr.smcgov.org/sites/hr.smcgov.org/files/LWO%20FAQ_Final_03142017.pdf)

- *Equipment, supplies, and materials*: Examples include recycling and composting bins, posters and stickers, composting tools, environmental education curricula, etc. When possible, equipment purchases for project must remain at the project site.
- *Outreach and educational materials and expenses*: Examples include curriculum development, lesson plan printouts, graphic designer, materials/supplies/props used for instruction, etc.
- *Advertising and marketing*: Examples include printing, ads, and designer fees.
- *Event expenses*: Examples include venue reservation cost, equipment rental (e.g., tables, chairs, A/V equipment), and speaker fees. Grant funds cannot be used for food/beverage.

- *Field trip fees*: Examples include charter bus fees, entrance fees, and guide/educational literature fees.

#### Examples of Ineligible Expenses

- Overhead costs (e.g., insurance, internet/phone fees, utilities, rent, fiscal sponsorship fees, etc.)
- Food/Beverage (unless they are used directly for educational purposes, for example, as part of instructional material in a food preservation class)
- Expenses for activities incurred before grant agreement execution
- Monetary awards or gift cards
- Donations
- Membership fees
- Legal fees or indemnity obligations
- Repayments of debts
- Loan or bank fees
- Fines or penalties

### **3. General Conditions**

The below general conditions **must** be met by all Mega Grant grantees.

- 1) All grantees will be required to sign a grant agreement that will include the final scope of the project, budget, and reporting requirements to the County.
- 2) The grant project may start during the end of the summer/early fall. Please note, that the OOS will begin distribution of the first disbursement (out of two) of the grant funds in **September 2021**. Please plan your project timeline accordingly. The grantees will have at most **one year** to complete their project. Reporting is due at the completion of the project or within one year of the start of the grant agreement, whichever comes first.
- 3) All grantees must possess insurance that meets the following requirements:
  - a. All grantees will maintain comprehensive liability insurance coverage up to \$1,000,000 during the term of the grant agreement.
  - b. If applicable to the grantee during the length of the agreement, the grantee will maintain professional liability insurance coverage up to \$1,000,000 and/or motor vehicle liability insurance coverage up to \$1,000,000.
  - c. All grantees must have workers' compensation and employer's liability insurance providing full statutory coverage, as required by state law.

- 4) If requesting grant funding for staff labor, grantees must comply with the County's Living Wage Ordinance (LWO) to promote the creation of a livable wage for associated labor staff costs. The LWO requirement for the upcoming 4Rs Grant Program cycle will be \$18/hour. For additional information on the County's LWO, please visit: (1) <https://hr.smcgov.org/san-mateo-county%E2%80%99s-living-wage-ordinance-lwo> and (2) [https://hr.smcgov.org/sites/hr.smcgov.org/files/LWO%20FAQ\\_Final\\_03142017.pdf](https://hr.smcgov.org/sites/hr.smcgov.org/files/LWO%20FAQ_Final_03142017.pdf)
- 5) Per Labor Code Section 1720, if the project proposed by the applicant is defined as a public work project for purposes of the California Labor Code, then the applicant hereby agrees that all persons providing labor on the project will be paid not less than prevailing rates of wages and that the applicant will ensure compliance with all provisions of the California Labor Code, Article 2-Wages, Chapter 1, Part 7, Division 2, Section 1770 et seq. A copy of the prevailing wage scale established by the Department of Industrial Relations is on file in the office of the County's Director of Public Works and available at [www.dir.ca.gov/DLSR](http://www.dir.ca.gov/DLSR) or by phone at 415-703-4774. California Labor Code Section 1776(a) requires each contractor and subcontractor on the project keep accurate payroll records of trade workers on all public works projects and to submit copies of certified payroll records.

Additionally, the applicant agrees the project will meet the following requirements:

- a. No contractor or subcontractor may be listed on a bid proposal for the project unless registered with the Department of Industrial Relations pursuant to Labor Code Section 1725.5 (with limited exceptions from this requirement for bid purposes only under Labor Code Section 1771.1(a)).
  - b. No contractor or subcontractor may be awarded a contract on the project unless registered with the Department of Industrial Relations pursuant to Labor Code Section 1725.5.
  - c. This project is subject to compliance monitoring and enforcement by the Department of Industrial Relations.
- 6) If applicable, grantees must comply with all federal, state, and local land use, regulatory and permit requirements.
  - 7) All activities proposed in the application must adhere to the most up-to-date health order pertaining to COVID-19 issued by the County of San Mateo's Health Officer. For additional information, please visit: <https://www.smchealth.org/coronavirus-health-officer-updates>

- 8) All materials submitted for the application become the property of the County and will not be returned. Funds awarded are public funds, and any information submitted or generated will be considered a public record and may be subject to public review.
- 9) The County of San Mateo reserves the right, at its sole discretion, to waive minor irregularities in submittal requirements, to request modifications of the proposal, to accept or reject any or all proposals received, to grant full or partial funding of any request, and/or to cancel all or part of this grant solicitation at any time prior to awards. There is no appeal process.

#### **4. Determination of Grantees**

Additional information may be requested of applicants before a grant determination is made. Recommendations for the award of a Mega Grant will be made by a team comprised of staff from the County of San Mateo and may also include an independent party outside the County. Grants or partial grants may be awarded up to the maximum of \$25,000 per project.

#### **5. Evaluation Criteria**

All submitted proposals that are in compliance with the grant application requirements and application instructions will be evaluated and rated according to the following criteria:

- 1) Project Concept and Objectives – The project concept is clear, comprehensive, well thought out and practical. The project objectives align clearly with those of the Mega Grant (refer to “Mega Grant Objectives and Requirements” in Section 2 of this document). If applicable, communications with relevant partners for the proposed project have been established, and letters of support obtained (and submitted as part of the grant application) to confirm partners’ commitment to collaborate on the project.
- 2) Project Implementation and Timeline – Project activities are well defined, well planned, practical, and technically feasible with a realistic timeline.

**Important Note:** The OOS will begin distribution of the first disbursement (out of two) of the grant funds in September 2021. Please plan your project timeline accordingly. The first grant disbursement will entail 75 percent of the total grant funding. The remaining 25 percent will be provided to the grantee at the end of the project once the final report has been submitted and reviewed by OOS staff.

- 3) Project Deliverables, Outcomes, and Evaluation – Project deliverables and outcomes are clearly stated, specific, realistic, reflective of the success of the project and, when appropriate, measurable/quantifiable.
- 4) Project Budget – Project budget is reasonable, realistic, and cost effective. Budget does not include any non-eligible expenditures (refer to “Examples of Ineligible Expenses” in Section 2 of this document). The organization demonstrates sound fiscal management.
- 5) Project Team – Management, staff, and/or students are qualified and experienced to implement project and achieve stated objectives.
- 6) Grant Application and Written Communication – The applicant has followed all instructions detailed in the grant application. The proposal is well-written, clear, complete, concise, and free of grammar and spelling mistakes.
- 7) Past Grantees’ Performance – For applicants that were past grantees of the 4Rs Grants Program, applicants’ past performance and results in implementing their grant projects will be taken into account during the evaluation process.

## **6. Application Deadline**

Grant applications are due latest on **Monday, March 8, 2021 at 6:00 pm**. All applications must be submitted online: <https://forms.gle/Jf1ZUzJ9zUXfKgCMA>

Applicants will be notified of the results within approximately 10 weeks from the application due date. If the project is approved for funding, the grant recipient will be required to sign a grant agreement with the County of San Mateo and submit additional paperwork. This will include provisions for providing the County with information regarding the use of the funds and the outcome of the projects.

## **7. Application Submission Instructions**

A Mega Grant proposal must include all of the below information to be eligible for consideration.

- 1) All applications must be signed by an authorized representative applying for the Mega Grant. In the case of a student or school group applying, a signature must be from a representative who is at least 18 years old.
- 2) Applications must be submitted online (<https://forms.gle/Jf1ZUzJ9zUXfKgCMA>) by latest **6:00 pm on Monday, March 8, 2021**.
- 3) Due to the competitive nature of this grant program, submitted applications that do not adhere to the application instructions and/or are incomplete will be penalized and/or eliminated from the running.

- 4) All questions on the application must be answered in the appropriate designated sections of the application, and the responses must be provided in English only.
- 5) After the application is submitted online, it is final; the application cannot be modified.

**Important Note:** Grant guidelines and applications are also available in Spanish and Chinese. However, all applications must be submitted in English, and all current and future communications with OOS staff will need to be conducted in English. Spanish and Chinese translated grant documents are intended to be a language assistance resource for English as a Second Language (ESL) applicants.

### **8. Grants Program Timeline**

<b>Dates (Dates may be subject to change)</b>	<b>Activity</b>
Monday, January 25, 2021	Call for grant applications released.
Tuesday, January 26, 4-5pm	OOS staff hosts a voluntary informational webinar on program. You can participate in the Zoom webinar by clicking <a href="#">here</a> . You can also join by phone: <ul style="list-style-type: none"> <li>• Call in number: 669-900-6833</li> <li>• Meeting ID: 937 7410 3121</li> </ul>
Monday, March 8, 2021 at 6:00 pm	Application deadline for grant applicants.
Mid/End May 2021	Grant evaluation committee determines and finalizes list of new grant recipients. OOS staff notifies all applicants of the results.
Early June 2021	OOS staff initiates grant agreements and other paperwork (e.g., certificate of insurance, etc.) with new grant recipients.
End of August 2021	OOS and grant recipients finalize and sign grant agreements.
September 2021	OOS distributes the first (of two) grant funding to grant recipients. The second (final) grant disbursement will be provided to the grantee at the end of the project once the final report has been submitted and reviewed by OOS staff.
One year after start date in grant agreement (earlier reporting accepted)	Grant recipients submit final reporting to OOS.



**9. Questions?**

If you have questions regarding the 4Rs Grants Program, please contact OOS: email [sustainability@smcgov.org](mailto:sustainability@smcgov.org) / call 888-442-2666.

**Are you interested in submitting an application for any of the below three areas?**

*Building community gardens  
Hosting composting workshops  
Designing/Implementing food rescue programs*



If your answer is a “yes”, then please carefully read through the rest of the content in this grey textbox to determine next steps:

**OOS’ Community Gardens Partnership Program**

Interested and eligible entities may be able to obtain funding for equipment and supplies for building a community garden through OOS’ Community Garden Partnership Program (Program). The Program is a partnership between the OOS and local community organizations, schools, businesses and other entities located in San Mateo County. The OOS is looking to partner with local community organizations and businesses to build vibrant community gardens and to promote sustainable gardening and composting techniques. The OOS will provide funding and resources to help start up and maintain the gardens, while the partnering organization’s role is to use the funding to build the garden, coordinate with the gardeners to keep the garden up and growing and promote composting and sustainable gardening. For additional information, please visit:

<https://www.smcsustainability.org/waste-reduction/composting/#communitygardenpartnerships>

If your proposed project concept aligns with OOS’ Community Garden Partnership Program, please contact OOS staff *as soon as possible* to determine if the above program is more appropriate for your needs than the 4Rs Grants Program. Contact OOS staff: [sustainability@smcgov.org](mailto:sustainability@smcgov.org) / 888-442-2666

**The Sustainability Academy’s Home Composting Workshops**

Through the Sustainability Academy, the OOS offers free home composting workshops throughout San Mateo County. For additional information about the workshops, please visit: <https://www.smcsustainability.org/climate-change/sustainability-academy/>

As part of the 4Rs Grants Program, the OOS is interested in funding entities that have ideas that will complement, rather than duplicate, the home composting workshops that are already being offered through the Sustainability Academy. If you’d like to discuss your proposed project concept around home composting workshops, please contact OOS staff: [sustainability@smcgov.org](mailto:sustainability@smcgov.org) / 888-442-2666

**Food Rescue Program**

In response to SB 1383, the OOS is currently developing and implementing a countywide food recovery program. As part of the 4Rs Grants Program, the OOS is interested in funding entities that have ideas that will complement OOS' existing and future efforts. If you have a project idea around food recovery/rescue that will be operating in San Mateo County, please contact OOS staff: [sustainability@smcgov.org](mailto:sustainability@smcgov.org) / 888-442-2666.